

Sparse domination of singular integral operators

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Singular integral operators

Example (Hilbert transform)

$$Hf(x) = p.v. \frac{1}{\pi} \int_{\mathbb{R}} \frac{f(y)}{x-y} dy, \quad \widehat{Hf}(\xi) = -i \operatorname{sgn}(\xi) \widehat{f}(\xi).$$

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- Convergence of Fourier series, complex analysis, signal processing...
- The prototype operator in the theory of singular integrals:
 - Higher dimensional: Riesz transforms $\widehat{R_j f}(\xi) = \frac{\xi_j}{|\xi|} \widehat{f}(\xi)$.
 - Fourier multipliers: $\widehat{Tf}(\xi) = m(\xi) \widehat{f}(\xi)$.
 - (Non-convolutional) Calderón-Zygmund operators:

$$Tf(x) = \int K(x, y) f(y) dy.$$

- More: Radon transforms, oscillatory integrals, multiparameter operators, multilinear singular integrals, discrete analogs, etc.

Fundamental question: mapping properties of operators

- $T : L^p(\mathbb{R}) \rightarrow L^p(\mathbb{R})?$
- $T : L^p(\mathbb{R}) \rightarrow L^{p,\infty}(\mathbb{R})?$ ($\|f\|_{L^{p,\infty}} := \sup_{\lambda>0} \lambda |\{x : |f(x)| > \lambda\}|^{1/p}$)

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- $T : L^p(w) \rightarrow L^p(w)$ for positive $w \in L^1_{\text{loc}}$? ($\|f\|_{L^p(w)} := \int |f|^p w$)

Example (Hunt-Muckenhoupt-Wheeden 1973)

$\forall 1 < p < \infty$, $H : L^p(w) \rightarrow L^p(w)$ iff $w \in A_p$, i.e.

$$[w]_{A_p} := \sup_Q \langle w \rangle_Q \langle w^{1-p'} \rangle_Q^{p-1} := \sup_Q \left(\int_Q w \right) \left(\int_Q w^{1-p'} \right)^{p-1} < \infty.$$

- If $T : L^p(w) \rightarrow L^p(w)$, what is the **sharp behavior** of the operator norm $C([w]_{A_p})$?

Calderón-Zygmund operators

CZ operator (including the Hilbert transform)

L^2 bounded, standard kernel:

$$Tf(x) = \int K(x, y)f(y) dy \quad x \notin \text{spt } f.$$

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$$Tf(x) = \int K(x, y)f(y) dy \quad x \notin \text{spt } f.$$

- $T : L^p \rightarrow L^p$ ($1 < p < \infty$), $L^1 \rightarrow L^{1, \infty}$.
- $T : L^p(w) \rightarrow L^p(w)$ for all $w \in A_p$, $\forall 1 < p < \infty$.

Theorem (Petermichl 2004 (Hilbert transform); Hytönen 2010 (general CZ operators))

$$\|Tf\|_{L^p(w)} \leq C_p [w]_{A_p}^{\max(1, 1/(p-1))} \|f\|_{L^p(w)}, \quad \forall 1 < p < \infty.$$

Sparse: a finer quantification of boundedness

Theorem (*)

CZ operator T satisfies “ $Tf \lesssim \mathcal{A}_S f$ ”, where

$$\mathcal{A}_S(f) := \sum_{Q \in \mathcal{S}} \langle |f| \rangle_Q \chi_Q$$

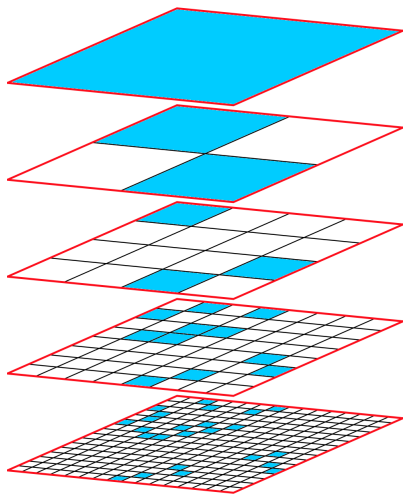
for some **sparse** collection \mathcal{S} of cubes.

\mathcal{S} is **sparse** if $\forall Q \in \mathcal{S}, \exists E_Q \subset Q$ such that $|E_Q| > \frac{1}{2}|Q|$ and $\{E_Q : Q \in \mathcal{S}\}$ pairwise disjoint.

Corollary

CZ operator T maps $L^p \rightarrow L^p$ ($1 < p < \infty$), $L^1 \rightarrow L^{1,\infty}$, and satisfies the **sharp** A_p bound.

A sparse collection of cubes ([Lerner-Nazarov 2015])



Interpret “ $Tf \lesssim \mathcal{A}_S f$ ”

- Given f , there exists $\mathcal{S} = \mathcal{S}(f, T)$ such that
 - Lerner 2013: $\|Tf\|_X \lesssim \|\mathcal{A}_S f\|_X$;
 - Conde-Rey, Lerner-Nazarov, Lacey, Hytönen-Roncal-Tapiola, Lerner 2015: $Tf(x) \lesssim \sum_{j=1}^{3^n} \mathcal{A}_{S_j} f(x)$ pointwisely;
- Culiuc-Di Plinio-O. 2016: Given f, g , there exists $\mathcal{S} = \mathcal{S}(f, g)$ such that for all CZ operator T ,

$$|\langle Tf, g \rangle| \leq C_T \Lambda_{\mathcal{S}, 1, 1}(f, g) := \langle \mathcal{A}_S f, g \rangle = \sum_{Q \in \mathcal{S}} |Q| \langle f \rangle_Q \langle g \rangle_Q;$$

- Lacey-Mena 2016: same result as above under weaker ($T1$ type) assumptions on the operators.

To recap

$$\underbrace{|\langle Tf, g \rangle|}_{\text{messy, complicated}} \leq C \sup_{\Lambda} \underbrace{\Lambda_{\mathcal{S},1,1}(f, g)}_{\text{positive, localized}}$$

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How sparse bound implies L^p bound?

$$\begin{aligned} \Lambda_{\mathcal{S},1,1}(f, g) &= \sum_{Q \in \mathcal{S}} |Q| \langle f \rangle_Q \langle g \rangle_Q \leq C \sum_{Q \in \mathcal{S}} |E_Q| \langle f \rangle_Q \langle g \rangle_Q \\ &\leq C \sum_{Q \in \mathcal{S}} |E_Q| \inf_{x \in E_Q} Mf(x) Mg(x) \leq C \sum_{Q \in \mathcal{S}} \int_{E_Q} (Mf)(Mg) \\ &\leq C \int_{\mathbb{R}^n} (Mf)(Mg) \leq C \|Mf\|_{L^p} \|Mg\|_{L^{p'}} \leq C' \|f\|_{L^p} \|g\|_{L^{p'}}. \end{aligned}$$

Toy sparse bound: identity operator

Theorem

Given f supported in Q_0 , \exists sparse collection \mathcal{S} of dyadic cubes such that

$$f(x) \lesssim \sum_{Q \in \mathcal{S}} \langle f \rangle_Q \chi_Q(x), \quad \text{a.e. } x \in Q_0.$$

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This follows from iterating the **Calderón-Zygmund decomposition**:

- Let \mathcal{E} be the collection of maximal dyadic cubes $Q \subset Q_0$ s.t.

$$\langle f \rangle_Q > C \langle f \rangle_{Q_0}.$$

- Split $f = \underbrace{f \chi_{Q_0 \setminus (\cup_{Q \in \mathcal{E}} Q)}}_{\leq C \langle f \rangle_{Q_0}} + \sum_{Q \in \mathcal{E}} \underbrace{f \chi_Q}_{\text{recurse}}.$

- Choose C sufficiently large s.t. $\sum_{Q \in \mathcal{E}} |Q| < \epsilon |Q_0| \implies$ sparsity.

Toy sparse bound: Haar multiplier

Theorem

Given f_1, f_2 , \exists sparse collection \mathcal{S} of dyadic cubes such that

$$\langle T f_1, f_2 \rangle := \left\langle \sum_{I \in \mathcal{D}} \epsilon_I \langle f_1, h_I \rangle h_I, f_2 \right\rangle \lesssim \sum_{Q \in \mathcal{S}} |Q| \langle f_1 \rangle_Q \langle f_2 \rangle_Q.$$

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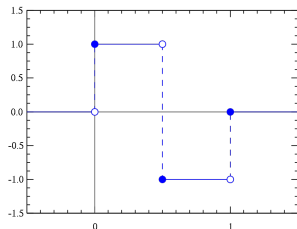


Figure: $h_{[0,1]}$ (Wikipedia)

- Haar function $h_I := |I|^{-1/2} (\chi_{I^-} - \chi_{I^+})$.
- $\{h_I\}_I$ forms an orthonormal basis of L^2 :

$$f = \sum_{I \in \mathcal{D}} \langle f, h_I \rangle h_I.$$

- $\langle Tf_1, f_2 \rangle = \sum_{I \subset Q_0} \epsilon_I \langle f_1, h_I \rangle \langle f_2, h_I \rangle.$

Iterate the following argument

Find collection \mathcal{E} of **pairwise disjoint** cubes s.t. $\sum_{Q \in \mathcal{E}} |Q| < \epsilon |Q_0|$ and

$$\sum_{I \subset Q_0} \epsilon_I \langle f_1, h_I \rangle \langle f_2, h_I \rangle = \underbrace{\Lambda(f_1, f_2)}_{\leq C |Q_0| \langle f_1 \rangle_{Q_0} \langle f_2 \rangle_{Q_0}} + \underbrace{\sum_{Q \in \mathcal{E}} \sum_{I \subset Q} \epsilon_I \langle f_1, h_I \rangle \langle f_2, h_I \rangle}_{\text{recurse}}.$$

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- CZ decomposition: we can find such \mathcal{E} and split for $i = 1, 2$

$$f_i = g_i + \sum_{Q \in \mathcal{E}} b_{i,Q}, \quad \|g_i\|_{L^\infty} \lesssim \langle f_i \rangle_{Q_0}, \quad \text{supp } b_{i,Q} \subset Q, \quad \int b_{i,Q} = 0.$$

- $\Lambda(g_1, b_2) = \Lambda(b_1, g_2) = \Lambda(b_1, b_2) = 0$, and

$$\Lambda(g_1, g_2) \leq \|g_1\|_{L^2} \|g_2\|_{L^2} \leq \|g_1\|_{L^\infty} \|g_2\|_{L^\infty} |Q_0| \leq C|Q_0| \langle f_1 \rangle_{Q_0} \langle f_2 \rangle_{Q_0}.$$

Toy sparse bound: Haar shift and beyond

Theorem

Given f_1, f_2 , \exists sparse collection \mathcal{S} of dyadic cubes such that

$$\left\langle \sum_{I \in \mathcal{D}} \epsilon_I \langle f_1, h_I \rangle h_{I^-}, f_2 \right\rangle \lesssim \sum_{Q \in \mathcal{S}} |Q| \langle f_1 \rangle_Q \langle f_2 \rangle_Q.$$

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- This (together with its higher complexity version) implies the sparse bound for Hilbert transform (and general CZ operators).
- Main ingredients: iteration algorithm via [CZ decomposition](#), the study of $\Lambda(g, b)$, $\Lambda(b, b)$ using properties of the operators.

Sparse form with bumps

Question: can we study operators that are not bounded on L^p for all $1 < p < \infty$?

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Definition

Given $1 \leq r, s < \infty$ and a sparse collection \mathcal{S} , the associated (r, s) -sparse form is

$$\Lambda_{\mathcal{S}, r, s}(f, g) = \sum_{Q \in \mathcal{S}} |Q| \langle f \rangle_{Q, r} \langle g \rangle_{Q, s} := \sum_{Q \in \mathcal{S}} |Q| \left(\int_Q |f|^r \right)^{1/r} \left(\int_Q |g|^s \right)^{1/s}.$$

For sublinear operator T , $\|T : (r, s)\|$ denotes the smallest constant C so that for all $f, g \in C_0^\infty$,

$$|\langle Tf, g \rangle| \leq C \sup_{\mathcal{S}} \Lambda_{\mathcal{S}, r, s}(f, g).$$

$\|T : (r, s)\| < \infty$ implies

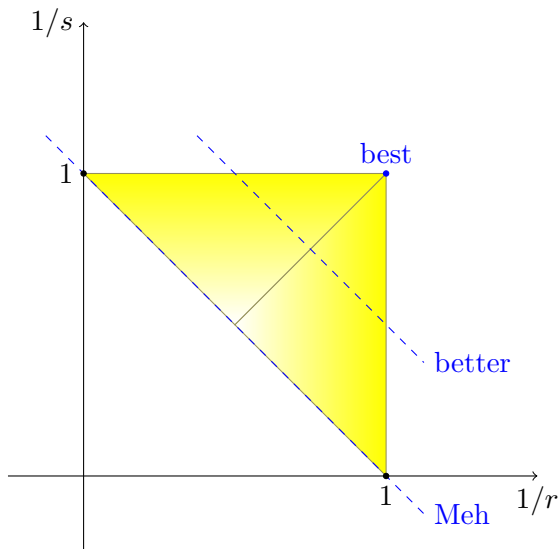
- $T : L^p \rightarrow L^p, \forall p \in (r, s'), L^r \rightarrow L^{r, \infty}$.
- $T : L^p(w) \rightarrow L^p(w)$ for $r < p < s'$ and $w \in A_{p/r} \cap \text{RH}_{(s'/p)'}$, where

$$[w]_{\text{RH}_q} := \text{smallest const. } C \text{ s.t. } \left(\int_Q w^q \right)^{1/q} \leq C \left(\int_Q w \right).$$

To summarize

$(1, 1)$ sparse bound is everything, (r, r') sparse bound is nothing.

“Sparse” region (picture by M. Lacey)



Beyond CZ: rough singular integrals

Example (Rough homogeneous singular integrals)

Let $\Omega \in L^1(S^{d-1})$ such that $\int_{S^{d-1}} \Omega = 0$,

$$T_{\Omega}f(x) = p.v. \int_{\mathbb{R}^d} f(x-y) \frac{\Omega(y/|y|)}{|y|^d} dy.$$

Example (Bochner-Riesz multipliers)

$$BR_{\delta}f = \mathcal{F}^{-1} \left[\mathcal{F}(f)(\xi)(1 - |\xi|^2)_+^{\delta} \right].$$

Beyond CZ: rough singular integrals

Theorem (Conde-Culiuc-Di Plinio-O. 2016)

Let T be either the rough homogeneous singular integral T_Ω with $\Omega \in L^\infty(S^{d-1})$ or the Bochner-Riesz multiplier BR_δ at the critical index $\delta = \frac{d-1}{2}$, then

$$\|T : (1, p)\| \leq \frac{Cp}{p-1}, \quad \forall 1 < p < \infty.$$

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For $\Omega \in L^{q,1} \log L(S^{d-1})$, the Orlicz-Lorentz space, $1 < q < \infty$,

$$\|T_\Omega : (1, p)\| \leq \frac{Cp}{p-1}, \quad \forall p \geq q'.$$

Remark: $L^{q+\epsilon} \hookrightarrow L^{q,1} \log L \hookrightarrow L^q$.

An abstract theorem (Conde-Culiuc-Di Plinio-O. 2016)

Given $B(f, g) = \int_{\mathbb{R}^d \times \mathbb{R}^d} K(x, y) f(x) g(y) dx dy$, if $\text{spt } f \cap \text{spt } g = \emptyset$.
 Suppose $K = \sum_{s \in \mathbb{Z}} K_s$ with

$$\text{spt } K_s \subset \left\{ (x, y) \in \mathbb{R}^d \times \mathbb{R}^d : 2^{s-2} < |x - y| < 2^s \right\}.$$

Then $\|B : (\max\{r, q'\}, \max\{s, q'\})\| < \infty$ if the following hold:

- 1 $\sup_{x \in \mathbb{R}^d} (\|K_s(x, x + \cdot)\|_q + \|K_s(x + \cdot, x)\|_q) < 2^{\frac{-sd}{q}}$, $1 < q \leq \infty$.
- 2 The truncated forms B_μ^ν (associated to $\sum_{\mu < s \leq \nu} K_s$) are uniformly bounded on $L^p \times L^{p'}$, for some $1 < p < \infty$.
- 3 For any **stopping collection** $\mathcal{Q} = \{L : L \subset Q\}$ of cubes,

$$\left| B^{\log(\ell(Q))}(b_1 \chi_Q, g_2) - \sum_{L \in \mathcal{Q}} B^{\log(\ell(L))}(b_1 \chi_L, g_2) \right| \lesssim |Q| \langle f \rangle_{Q,r} \langle g \rangle_{Q,s}.$$

Remark on the last condition:

Earlier sparse proofs usually use a stronger version: the weak $(1, 1)$ bound of the grand maximal truncated operator

$$\mathcal{M}_T f(x) := \sup_{Q \ni x} \operatorname{esssup}_{\xi \in Q} |T(f\chi_{(3Q)^c})(\xi)|$$

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- We only use CZ decomposition, hence obtain a sparse collection \mathcal{S} independent of T .
- Sometimes it is difficult or impossible to have the weak $(1, 1)$ bound of \mathcal{M}_T : it's still open whether the maximal truncation of T_Ω is weak $(1, 1)$ even for $\Omega \in L^\infty$.

Corollaries and comparison to other results

- T_Ω and $BR_{\frac{d-1}{2}}$ map L^1 into $L^{1,\infty}$ (Seeger 1996; Christ 1988).
- If $\Omega \in L^{q,1} \log L(S^{d-1})$ for some $1 < q < \infty$, then

$$\|T_\Omega : L^p(w) \rightarrow L^p(w)\| \lesssim [w]_{A_{p/q'}}^{\max(1, (p-q')^{-1})}, \quad \forall q' < p < \infty$$

- If $T = T_\Omega$ for $\Omega \in L^\infty(S^{d-1})$ or $T = BR_{\frac{d-1}{2}}$, then

$$\|T : L^p(w) \rightarrow L^p(w)\| \lesssim [w]_{A_p}^{\frac{1}{p-1} \max(p,2)}, \quad \forall 1 < p < \infty.$$

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- Hytönen-Roncal-Tapiola 2015; Benea-Bernicot-Luque 2016; Li-Pérez-Rivera-Roncal 2017.

Beyond CZ: bilinear Hilbert transform

$$\begin{aligned} BHT(f, g) &:= p.v. \int_{\mathbb{R}} f(x-t)g(x+t) \frac{dt}{t} \\ &= C \int_{\mathbb{R}^2} \operatorname{sgn}(\xi - \eta) \widehat{f}(\xi) \widehat{g}(\eta) e^{2\pi i x(\xi + \eta)} d\xi d\eta. \end{aligned}$$

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 \end{aligned}$$

Theorem (Culiuc-Di Plinio-O. 2016)

For admissible (p_1, p_2, p_3) , i.e. $1 < p_j < \infty$, $\sum_{j=1}^3 \frac{1}{\min(p_j, 2)} < 2$ (for instance $(2^-, 2^-, 1^+)$),

$$\| \langle BHT(f_1, f_2), f_3 \rangle : (p_1, p_2, p_3) \| < \infty.$$

Trilinear sparse form $\Lambda_{S, \vec{p}}(f_1, f_2, f_3) := \sum_{Q \in S} |Q| \prod_{j=1}^3 \langle f_j \rangle_{Q, p_j}$.

Beyond CZ: bilinear Hilbert transform

Corollary (Sample)

Let $1 < q_j < \infty$ be so that $\sum_{j=1}^3 \frac{1}{q_j} = 1$ and weights v_1, v_2, u satisfy $u = v_1^{q'_3/q_1} v_2^{q'_3/q_2}$. Assume that $v_1^2 \in A_{q_1}, v_2^2 \in A_{q_2}$. Then

$$BHT : L^{q_1}(v_1) \times L^{q_2}(v_2) \rightarrow L^{q'_3}(u).$$

In particular, $v^2 \in A_p \iff v \in A_{\frac{p+1}{2}} \cap RH_2$.

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A general framework using Do-Thiele's outer measure theory

$$\underbrace{\text{Outer Hölder's inequality}}_{\text{multilinear} \rightarrow \text{linear}} + \underbrace{\text{Embedding theorems}}_{\text{outer } L^p \rightarrow L^p} \implies \text{Sparse bound}$$

Beyond CZ: Hilbert transform along curves

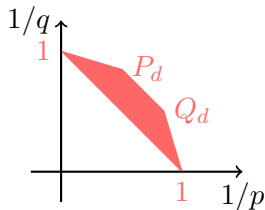
$$H_\gamma f(x) := p.v. \int_{\mathbb{R}} f(x - \gamma(t)) \frac{dt}{t}, \quad \gamma = (t, t^2, \dots, t^d).$$

Theorem (2017)

For $(1/p, 1/q)$ in the L^p improving region below, where

$$P_d = \left(\frac{2}{d+1}, \frac{d^2-d+2}{d^2+d} \right), Q_d = \left(\frac{d^2-d+2}{d^2+d}, \frac{2}{d+1} \right),$$

$$\|H_\gamma : (p, q)\| < \infty.$$



Beyond CZ: some other sparse breakthroughs

- Lacey 2017: spherical maximal functions
- Barron 2017: rough bilinear singular integrals
- Bernicot-Frey-Petermichl 2016: non-integral singular operators
- Lacey-Spencer 2017, Krause-Lacey 2017: (maximally truncated) oscillatory integrals
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Thank you for your attention!